

Introduction to Algorithmic Differentiation

Derivative Code Automatically (Part III: Syntax-Directed Translation)

Uwe Naumann



Informatik 12:
Software and Tools for Computational Engineering (STCE)

RWTH Aachen

Contents

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

Outline

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

Recall

Shift-Reduce Parser

	STACK	STATE	PARSSED	INPUT	ACTION
1		0	V	$= F(VNC);$	S
2	0	1	$V =$	$F(VNC);$	S
3	0,1	4	$V = F$	$(VNC);$	S
4	0,1,4	9	$V = F($	$VNC);$	S
5	0,1,4,9	11	$V = F(V$	$NC);$	S
6	0,1,4,9,11	7		$NC);$	$R(P7)$
7	0,1,4,9	11	$V = F(e$	$NC);$	S
8	0,1,4,9,11	15	$V = F(eN$	$C);$	S
9	0,1,4,9,11,15	13	$V = F(eNC$	$);$	S
10	0,1,4,9,11,15,13	8		$);$	$R(P8)$
11	0,1,4,9,11,15	13	$V = F(eNe$	$);$	S
12	0,1,4,9,11,15,13	17		$);$	$R(P5)$
13	0,1,4,9	11	$V = F(e$	$);$	S

	STACK	STATE	PARSED	INPUT	ACTION
14	0,1,4,9,11	15	$V = F(e)$;	S
15	0,1,4,9,11,15	18		;	R(P_6)
16	0,1	4	$V = e$;	S
17	0,1,4	10	$V = e;$		S
18	0,1,4,10	14			R(P_3)
19	0	0	a		S
20	0	3			R(P_1)
21	0	0	s		S
22	0	2	\$end		S
23	0,2	5			R(P_0)
24		0	\$accept		ACCEPT

P_0 is an auxiliary production rule for accepting the empty word.

Outline

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

Attribute grammars are a very powerful tool for rigorous definition of source code modification algorithms.

Transformation rules are associated with the syntactical structure of the language making their implementation often a straight-forward extension of the parser. A single pass over the input program may suffice to perform the desired transformation.

Moreover, attribute grammars describe exactly the corresponding traversal and modification pattern of the parse tree making them applicable to multi-pass compilation as well.

A **synthesized attribute** $v.s$ for the left-hand side v of a production $v : \phi(u_1, \dots, u_k)$ is defined only in terms of its own attribute values or of attribute values of its children u_1, \dots, u_k .

A grammar is called **S-attributed** if it contains only synthesized attributes.

The synthesized attribute s stores the number of subexpressions in the subtree rooted by the current nonterminal symbol.

$a : V = e;$

$e' : F(e^r) \quad \{e'.s := e^r.s + 1\}$

$: e^{r_1} L e^{r_2} \quad \{e'.s := e^{r_1}.s + e^{r_2}.s + 1\}$

$: e^{r_1} N e^{r_2} \quad \{e'.s := e^{r_1}.s + e^{r_2}.s + 1\}$

$: V \quad \{e'.s := 1\}$

$: C \quad \{e'.s := 1\}$

S-Attributed Grammar

Example: Counting Subexpressions with **bison**

```
1   ...
2
3 %%  

4
5 a : V '=' e ';' { printf("%d\n",$3); } ;  

6 e : e L e { $$=$1+$3+1; }  

7 | e N e { $$=$1+$3+1; }  

8 | F '(' e ')' { $$=$3+1; }  

9 | V { $$=1; }  

10 | C { $$=1; }  

11 ;
12
13 %%  

14
15 ...
```

An **inherited attribute** $v.i$ for a symbol v on the right-hand side of a production $w : \phi(u_1, \dots, v, \dots, u_k)$ is defined in terms of its own attribute values, of those of its children, of those of its parent w , or of attribute values of its siblings u_1, \dots, u_k .

Any S-attributed grammar is also **L-attributed**. Moreover, in an L-attributed grammar the values of all inherited attributes of an instance v of a non-terminal symbol are either functions of synthesized or inherited attributes of non-terminals to the left in the given production (including the parent on the left-hand side) or they are functions of synthesized or inherited attributes of v itself. Cyclic dependences among the attributes of v must not occur.

Example: Enumerating Subexpressions

The inherited attribute i stores the index of the subexpression associated with the current nonterminal symbol.

$a : V = e; \quad \{ e.i := 0 \}$

$e' : F(e^r) \quad \{ e'.s := e^r.s + 1; e^r.i := e'.i + 1 \}$

$: e^{r_1} L e^{r_2} \quad \{ e'.s := e^{r_1}.s + e^{r_2}.s + 1$
 $\qquad\qquad\qquad e^{r_1}.i := e'.i + 1; e^{r_2}.i := e^{r_1}.i + e^{r_1}.s \}$

$: e^{r_1} N e^{r_2} \quad \{ e'.s := e^{r_1}.s + e^{r_2}.s + 1$
 $\qquad\qquad\qquad e^{r_1}.i := e'.i + 1; e^{r_2}.i := e^{r_1}.i + e^{r_1}.s \}$

$: V \quad \{ e'.s := 1 \}$

$: C \quad \{ e'.s := 1 \}$

Example: Enumerating Subexpressions with `bison`

A global counter (`sacvc`) can be used instead of an inherited attribute, which is would require storage of (parts of) the parser tree.

```
1 %{
2 ...
3 unsigned int sacvc; // sac variable counter
4 }
5 ...
6 %%
7
8 a : V '=' { sacvc=0; } e ;
9 e : e L e { $$=sacvc++; printf("(%d,%d)\n(%d,%d)\n",$1,$$, $3,$$); }
10 | e N e { $$=sacvc++; printf("(%d,%d)\n(%d,%d)\n",$1,$$, $3,$$); }
11 | F '(' e ')' { $$=sacvc++; printf("(%d,%d)\n",$3,$$); }
12 | V { $$=sacvc++; }
13 | C { $$=sacvc++; }
14 ;
15
16 %%
17 ...
```

Outline

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

The attributes n and j replace the previously used s and i . The SAC is synthesized into the string attribute c .

$$(P3) \quad a : V = e; \quad e.j = 0$$
$$a.c = e.c$$
$$+ V.c + " =v0;"$$

$$(P4) \quad e^l : e^{r_1} L e^{r_2} \quad e^l.n = e^{r_1}.n + e^{r_2}.n + 1$$
$$e^{r_1}.j = e^l.j + 1$$
$$e^{r_2}.j = e^{r_1}.j + e^{r_1}.n$$
$$e^l.c = e^{r_1}.c + e^{r_2}.c$$
$$+ " v" + e^l.j + " =v" + e^{r_1}.j + L.c$$
$$+ " v" + e^{r_2}.j + " ;"$$

$$(P5) \quad e^I : e^{r_1} N e^{r_2} \quad e^I.n = e^{r_1}.n + e^{r_2}.n + 1$$

$$e^{r_1}.j = e^I.j + 1$$

$$e^{r_2}.j = e^{r_1}.j + e^{r_1}.n$$

$$e^I.c = e^{r_1}.c + e^{r_2}.c$$

$$+ " v" + e^I.j + " =v" + e^{r_1}.j + N.c$$

$$+ " v" + e^{r_2}.j + " ;"$$

$$(P6) \quad e^I : F(e^r) \quad e^I.n = e^r.n + 1$$

$$e^r.j = e^I.j + 1$$

$$e^I.c = e^r.c$$

$$+ " v" + e^I.j + " =" + F.c + " (v" + e^r.j + ");"$$

(P7) $e : V \quad e.n = 1$
 $e.c = " v" + e.j + " =" + V.c + " ;"$

(P8) $e : C \quad e.n = 1$
 $e.c = " v" + e.j + " =" + C.c + " ;"$

Example: $y = \sin(x * 2);$

The following refers to the characteristic DFA of the SL^2 parser.

i	PARSED	ACTION	$\$$.j$	$\$$.c$	Comment
0	V	S			
1	$V =$	S			
4	$V = F$	S			
9	$V = F($	S			
11	$V = F(V$	S			
7		$R(P7)$	2	$v_2 = x;$	
11	$V = F(e$	S			
15	$V = F(eN$	S			
13	$V = F(eNC$	S			
8		$R(P8)$	3	$v_3 = 2;$	
13	$V = F(eNe$	S			
17		$R(P5)$	1	$v_2 = x;$ $v_3 = 2;$ $v_1 = v_2 * v_3;$	$< \dots = e^{r_1}.c$ $< \dots = e^{r_2}.c$ $N.c = " * "$ $e^{r_1}.j = 2, e^{r_2}.j = 3$

Example: $y = \sin(x * 2);$

<i>i</i>	PARSED	ACTION	$\$\$.j$	$\$\$.c$	Comment
11	$V = F(e)$	S			
15	$V = F(e)$	S			
18		$R(P6)$		$v_2 = x;$ $v_3 = 2;$ $v_1 = v_2 * v_3;$ <div style="border: 1px solid black; padding: 2px;">$v_0 = \sin(v_1);$</div>	< < < ... = $e^r.c$ $F.c = " \sin ", e^r.j = 1$
4	$V = e$	S	0		
10	$V = e;$	S			
14		$R(P3)$		$v_2 = x;$ $v_3 = 2;$ $v_1 = v_2 * v_3;$ $v_0 = \sin(v_1);$ <div style="border: 1px solid black; padding: 2px;">$y = v_0;$</div>	< < < < ... = $e.c$ $V.c = " y ", e.j = 0$

Syntax-Directed Assignment-Level SAC

Example: $y = \sin(x * 2);$

i	PARSED	ACTION	$\$$.j$	$\$$.c$	Comment
0	a	S			
3		$R(P1)$			
0	s	S			
2	$\$end$	S			
5		$R(P0)$			
0	$\$accept$	ACCEPT			

Outline

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

- (P7) $e : V \quad e.n = 1$
 $e.c = " v" + e.j + " _=" + V.c + " _,"$
 $+ " v" + e.j + " =" + V.c + " ;"$
- (P8) $e : C \quad e.n = 1$
 $e.c = " v" + e.j + " _=0;"$
 $+ " v" + e.j + " =" + C.c + " ;"$

$$\begin{aligned}(P6) \quad e^l : F(e^r) \quad &e^l.n = e^r.n + 1 \\ &e^r.j = e^l.j + 1 \\ &e^l.c = e^r.c \\ &+ " v" + e^l.j + " _= " \\ &+ \partial_{e^r.j} F + " * v" + e^r.j + " _; " \\ &+ " v" + e^l.j + " =" + F.c + " (v" + e^r.j + "); "\end{aligned}$$

where $\partial_{e^r.j} F = " \cos(v" + e^r.j + ") "$ if $F.c = " \sin "$ etc.

$$(P4) \quad e^I : e^{r_1} O e^{r_2} \quad e^I.n = e^{r_1}.n + e^{r_2}.n + 1$$

and

$$e^{r_1}.j = e^I.j + 1$$

$$(P5) \quad e^{r_2}.j = e^{r_1}.j + e^{r_1}.n$$

$$e^I.c = e^{r_1}.c + e^{r_2}.c$$

$$+ " v" + e^I.j + " _=" + \partial_{e^{r_1}.j} O$$

$$+ " *v" + e^{r_1}.j + " _+"$$

$$+ \partial_{e^{r_2}.j} O + " *v" + e^{r_2}.j + " _;"$$

$$+ " v" + e^I.j + " =v" + e^{r_1}.j + O.c$$

$$+ " v" + e^{r_2}.j + " ;"$$

where $O \in \{L, N\}$, $\partial_{e^{r_1}.j} O = \partial_{e^{r_2}.j} O = "1"$ if $O.c = "+"$, $\partial_{e^{r_1}.j} O = "v" + e^{r_2}.j$ and $\partial_{e^{r_2}.j} O = "v" + e^{r_1}.j$ if $O.c = "*"$, etc.

$$\begin{aligned}(P3) \quad & a : V = e; \quad e.j = 0 \\& a.c = e.c \\& \quad + V.c + " _=\text{v0_};" \\& \quad + V.c + " \=\text{v0};"\end{aligned}$$

For $y = v_0$ we get $y^{(1)} = \frac{\partial y}{\partial v_0} \cdot v_0^{(1)} = v_0$.

Syntax-Directed Straight-Line Tangent Code

Example: $y = \sin(x * 2)$;

i	PARSED	ACTION	$\$\$.j$	$\$\$.c$
0	V	S		
1	$V =$	S		
4	$V = F$	S		
9	$V = F($	S		
11	$V = F(V$	S		
7		$R(P7)$	1	$v_2^{(1)} = x^{(1)}; v_2 = x;$
11	$V = F(e$	S		
15	$V = F(eN$	S		
13	$V = F(eNC$	S		
8		$R(P8)$	2	$v_3^{(1)} = 0; v_3 = 2;$
13	$V = F(eNe$	S		
17		$R(P5)$		$v_2^{(1)} = x^{(1)}; v_2 = x;$ $v_3^{(1)} = 0; v_3 = 2;$ $v_1^{(1)} = v_2^{(1)} * v_3 + v_2 * v_3^{(1)}; v_1 = v_2 * v_3;$

Syntax-Directed Straight-Line Tangent Code

Example: $y = \sin(x * 2)$;

i	PARSED	ACTION	$\$$.j$	$\$$.c$
11	$V=F(e)$	S		
15	$V = F(e)$	S		
18		$R(P6)$		$v_2^{(1)} = x^{(1)}; v_2 = x;$ $v_3^{(1)} = 0; v_3 = 2;$ $v_1^{(1)} = v_2^{(1)} * v_3 + v_2 * v_3^{(1)}; v_1 = v_2 * v_3;$ $v_0^{(1)} = \cos(v_1) * v_1^{(1)}; v_0 = \sin(v_1);$
4	$V = e$	S	4	
10	$V = e;$	S		
14		$R(P3)$		$v_2^{(1)} = x^{(1)}; v_2 = x;$ $v_3^{(1)} = 0; v_3 = 2;$ $v_1^{(1)} = v_2^{(1)} * v_3 + v_2 * v_3^{(1)}; v_1 = v_2 * v_3;$ $v_0^{(1)} = \cos(v_1) * v_1^{(1)}; v_0 = \sin(v_1);$ $y^{(1)} = v_0^{(1)}; y = v_0;$

Syntax-Directed Straight-Line Tangent Code

Example: $y = \sin(x * 2);$

i	PARSED	ACTION	$\$$.j$	$\$$.c$
0	a	S		
3		$R(P1)$		
0	s	S		
2	$\$end$	S		
5		$R(P0)$		
0	$\$accept$	ACCEPT		

Outline

Recall: Shift-Reduce Parser

Attribute Grammars

- Synthesized Attributes
- Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code

The inherited attribute k enumerates all assignments. The augmented primal is synthesized into the string attribute c^f ; the adjoint is synthesized into c^b .

(P0) $\$accept :$

$s.k = 0$

$s\$end$

$\$accept.c^f = s.c^f$
+ "int $i;$ "
+ "while($pop_c(i)$){"
+ "if($i == 1$)"
+ $s.c_1^b$

+ "}else if($i == 2$){"
+ $s.c_2^b$
:
+ "}else if($i == " + s.k + "$){"
+ $s.c_{s.k}^b$
+ "}"

(P1)

$s :$

$$a.k = s.k + 1$$

a

$$s.k = a.k; \quad s.c^f = a.c^f; \quad s.c^b = a.c^b$$

(P1a) $s :$

$$b.k = s.k$$

b

$$s.k = b.k; \quad s.c^f = b.c^f; \quad s.c^b = b.c^b$$

(P1b) $s :$

$$l.k = s.k$$

l

$$s.k = l.k; \quad s.c^f = l.c^f; \quad s.c^b = l.c^b$$

(P2) $s^l :$

$$a.k = s^l.k + 1$$

a

$$s^r.k = a.k$$

s^r

$$s^l.k = s^r.k$$

$$s^l.c^f = a.c^f + s^r.c^f; \quad s^l.c^b = s^r.c^b + a.c^b$$

(P2a) $s^l :$

$$b.k = s^l.k$$

b

$$s^r.k = b.k$$

s^r

$$s^l.k = s^r.k$$

$$s^l.c^f = b.c^f + s^r.c^f; \quad s^l.c^b = s^r.c^b + b.c^b$$

(P2b) $s^l :$

$$l.k = s^l.k$$

|

$$s^r.k = l.k$$

s^r

$$s^l.k = s^r.k$$

$$s^l.c^f = l.c^f + s^r.c^f; \quad s^l.c^b = s^r.c^b + l.c^b$$

(P3) $a :$

$$e.k = a.k; \quad e.i = 0$$

$V = e;$

$$a.c^f = e.c^f + " \text{ push_c}(" + a.k + ");"$$

$$+ " \text{ push_d}(" + V.c^f + ");"$$

$$+ V.c^f + " =v0;"$$

$$a.c_{a.k}^b = " \text{ pop_d}(" + V.c^f + ");"$$

$$+ " v0_=" + V.c^f + " _;"$$

$$+ V.c^f + " _=0;"$$

$$+ e.c_{a.k}^b$$

(P4/5) $e^I :$

$$e^{r_1}.i = e^I.i + 1; \quad e^{r_i}.k = e^I.k \text{ for } i = 1, 2$$

e^{r_1}

$$e^{r_2}.i = e^{r_1}.i + e^{r_1}.s + 1$$

Oe^{r_2}

$$e^I.s = e^{r_1}.s + e^{r_2}.s + 1$$

$$e^I.c^f = e^{r_1}.c^f + e^{r_2}.c^f$$

+ " push_d(v" + e^I.i + ");"

+ " v" + e^I.i

+ " =v" + e^{r_1}.i + O.c^f + " v" + e^{r_2}.i + " ;"

$$\begin{aligned} e^l.c_{e,k}^b = & " \text{pop_d}(v" + e^l.i + ");" \\ & + " v" + e^{r_2}.i + " _{"=} + O_{e^{r_2}.i} + " *v" + e^l.i + " _;" \\ & + " v" + e^{r_1}.i + " _{"=} + O_{e^{r_1}.i} + " *v" + e^l.i + " _;" \\ & + e^{r_2}.c_{e,k}^b + e^{r_1}.c_{e,k}^b \end{aligned}$$

(P6) $e^l :$

$$e^r.i = e^l.i + 1; \quad e^r.k = e^l.k$$

$F(e^r)$

$$e^l.s = e^r.s + 1$$

$$e^l.c^f = e^r.c^f$$

$$+ " \text{push_d}(\text{v}'' + e^l.i + ");"$$

$$+ " \text{v}'' + e^l.i + " = " + F.c^f + " (\text{v}'' + e^r.i + ");"$$

$$e^l.c_{e,k}^b = " \text{pop_d}(\text{v}'' + e^l.i + ");"$$

$$+ " \text{v}'' + e^r.i + " _= " + F_{e^r.i} + " * \text{v}'' + e^l.i + " _;"$$

$$+ e^r.c_{e,k}^b$$

(P7) $e : V \quad e.s = 1$

$$a.c^f = " \text{push_d}(v" + e.i + ");"$$
$$+ " v" + e.i + " =" + V.c^f + " ;"$$
$$a.c_{e.k}^b = " \text{pop_d}(v" + e.i + ");"$$
$$+ V.c^f + " _+=v" + e.i + " _;"$$

(P8) $e : C \quad e.s = 1$

$$\begin{aligned} a.c^f &= " \text{push_d}(v" + e.i + ");" \\ &\quad + " v" + e.i + " =" + C.c^f + " ;" \end{aligned}$$
$$a.c_{e.k}^b = " \text{pop_d}(v" + e.i + ");"$$

(P9) $b : IF(r)$

$$s.k = b.k$$
$$\{s\}$$
$$b.k = s.k$$
$$b.c^f = " \text{if} " + " (" + r.c^f + ") " + " \{ " s.c^f + " \} "$$
$$b.c^b = s.c^b$$

(P10) $I : WHILE(r)$

$$s.k = I.k$$

$\{s\}$

$$I.k = s.k$$

$$I.c^f = \text{"while"} + \text{("} + r.c^f + \text{")}" + \text{"}\{s.c^f + \text{"}}\text{"}$$

$$I.c^b = s.c^b$$

(P11) $r : V^{r_1} R V^{r_2}$

$$r.c^f = V^{r_1}.c^f + R.c^f + V^{r_2}.c^f$$

Example

i	$\$$.c^f$	$\$$.c^b$
...		
11		
7	$push(v_2); \ v_2 = x;$	$pop(v_2); \ x_{(1)} += v_{2(1)};$
13		
8	$push(v_3); \ v_3 = 2;$	$pop(v_3);$
13		
14	$push(v_2); \ v_2 = x;$ $push(v_3); \ v_3 = 2;$ $push(v_1); \ v_1 = v_2 * v_3;$	$pop(v_1); \ v_{2(1)} = v_3 * v_{1(1)}; \ v_{3(1)} = v_2 * v_{1(1)};$ $pop(v_3);$ $pop(v_2); \ x_{(1)} += v_{2(1)};$
11		
15		
...		

Example

i	$\$$.c^f$	$\$$.c^b$
18	$push(v_2); v_2 = x;$ $push(v_3); v_3 = 2;$ $push(v_1); v_1 = v_2 * v_3;$ <div style="border: 1px solid black; padding: 5px;">$push(v_0); v_0 = \sin(v_1);$</div>	<div style="border: 1px solid black; padding: 5px;">$pop(v_0); v_{1(1)} = \cos(v_1) * v_{0(1)};$</div> $pop(v_1); v_{2(1)} = v_3 * v_{1(1)}; v_{3(1)} = v_2 * v_{1(1)};$ $pop(v_3);$ <div style="border: 1px solid black; padding: 5px;">$pop(v_2); x_{(1)} += v_{2(1)};$</div>
4		
10		
14	$push(v_2); v_2 = x;$ $push(v_3); v_3 = 2;$ $push(v_1); v_1 = v_2 * v_3;$ $push(v_0); v_0 = \sin(v_1);$ <div style="border: 1px solid black; padding: 5px;">$push(y); y = v_0;$</div>	<div style="border: 1px solid black; padding: 5px;">$pop(y); v_{0(1)} = y_{(1)}; y_{(1)} = 0;$</div> $pop(v_0); v_{1(1)} = \cos(v_1) * v_{0(1)};$ $pop(v_1); v_{2(1)} = v_3 * v_{1(1)}; v_{3(1)} = v_2 * v_{1(1)};$ $pop(v_3);$ <div style="border: 1px solid black; padding: 5px;">$pop(v_2); x_{(1)} += v_{2(1)};$</div>
...		

Summary

Recall: Shift-Reduce Parser

Attribute Grammars

Synthesized Attributes

Inherited Attributes

Assignment-Level Single Assignment Code

Straight-Line Tangent Code

Adjoint Code